

11-29-00

A

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NAK1-BN18

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

FINAL MANAGEMENT APPARATUS AND METHOD

and invented by:

Tomoko Yamaguchi et al.

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having One Hundred Nine (109) pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NAK1-BN18

Total Pages in this Submission

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets Thirty-Five (35)
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EM074234802US

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NAK1-BN18

Total Pages in this Submission

Accompanying Application Parts (Continued)

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Additional Enclosures *(please identify below):*

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NAK1-BN18

Total Pages in this Submission

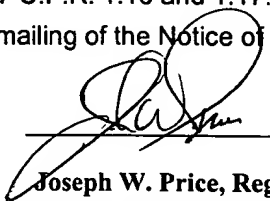
Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	30	- 20 =	10	x \$18.00	\$180.00
Indep. Claims	21	- 3 =	18	x \$80.00	\$1,440.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose) <u>Assignment Recordation</u>					\$40.00
TOTAL FILING FEE					\$2,370.00

- ☒ A check in the amount of **\$2,370.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **16-2462** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Signature


Joseph W. Price, Reg. No. 25,124
PRICE AND GESS
2100 S.E. Main St., Ste. 250
Irvine, CA 92614
Tel: 949/261-8433
Fax: 949/261-9072

Dated: November 28, 2000

cc:

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

OF COUNSEL
JAMES F. KIRK

e-mail: pgu@pgulaw.com

SPECIFICATION, CLAIMS, AND ABSTRACT **ONE HUNDRED NINE (109) PAGES**

Applicant(s): Tomoko Yamaguchi et al. et al.
Title: FINAL MANAGEMENT APPARATUS AND METHOD
Attorney's
Docket No.: NAK1-BN18

"EXPRESS MAIL" MAILING
LABEL NO. EM074234802US

DATE OF DEPOSIT: November 28, 2000

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

OF COUNSEL
JAMES F. KIRK

e-mail: pgu@pgulaw.com

DRAWINGS - THIRTY-FIVE (35) SHEETS

Applicant(s): Tomoko Yamaguchi et al. et al.
Title: FINAL MANAGEMENT APPARATUS AND METHOD
Attorney's
Docket No.: NAK1-BN18

"EXPRESS MAIL" MAILING
LABEL NO. EM074234802US

DATE OF DEPOSIT: November 28, 2000

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

OF COUNSEL
JAMES F. KIRK

e-mail: pgu@pgulaw.com

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Applicant(s): Tomoko Yamaguchi et al. et al.
Title: FINAL MANAGEMENT APPARATUS AND METHOD
Attorney's
Docket No.: NAK1-BN18

"EXPRESS MAIL" MAILING
LABEL NO. EM074234802US

DATE OF DEPOSIT: November 28, 2000